Systems Approach to Evaluation of the Delaware State Innovation Model

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Purpose: Establish a feedback loop to track implementation, make mid-course corrections & meet program goals

Theoretical Background – Core Components of the Evaluation Approach

- Underlying patterns and structures that influence system-wide behaviors
- Complex and dynamic patterns of adaptation and coevolution of the component parts
- Interactions between actors and the external environment
- Participant ownership for both the means and ends of the evaluation
- Increased evaluation capacity of organizations or over time
- Production of meaningful data for decision making to improve practice
- Engagement of stakeholders in all aspects of the evaluation to generate ongoing feedback for implementers and allow for mid-course corrections

Delaware SIM Evaluation

January 2016 – Ongoing

Purpose:

- Support state-led healthcare innovation efforts
- Catalyze and facilitate the development of models that improve care and lower costs
- Better align payment systems to support patient centered practices

Delaware – State Innovation Grant

Funding Amount
- Round One - Model Design Grant (February 2013) $2.5 million
- Round Two - Model Test Grant (December 2014) $34 million

External Factors:
- Policy & Provider will: Policy changes; Changes in health system trends; Economic variables & changes; Shifting funding; Consumer/Provider expectations

Delaware State Innovation Model Plan

Stakeholder Participation & Consensus-Oriented

Assumptions: Integrated approach; Infrastructure leveraging existing priorities; Authoritative

Inclusions Commitment to Leveraging Resources Beyond the CMMI Grant

Discussion

- Systems approach allows for targeted focus on broader capacity, engagement and sustainability questions.
- Inclusive nature of the evaluation captures stakeholder perspective at different levels of engagement.
- The utilization focus is facilitated through the use of a ‘utilization committee’ which provides feedback at critical stages of the evaluation, planning, data collection and analysis. Participation is constrained by lack of time/capacity since members are highly engaged volunteers.
- Evaluation findings are aimed at producing mid-course corrections within the program to ensure desired outcomes are achieved. Particular attention is being paid to the assessment of institutional changes.
- There appears to be a need to educate stakeholders about the ways in which a systems approach is distinct from traditional evaluation approaches and reveals different kinds of information.

Future Research

- Evaluation findings will be shared with the funders and conversations regarding utilization are ongoing.
- Evaluation will continue until program completion in 2019, provided program funding continues.
- Existing underlying theoretical groundwork for this evaluation will be supplemented by new methodologies.
- Subsequent evaluation reports will expand to further incorporate Level 1 and Level 4 stakeholders, as well as any additional actors identified in the future.